



Certificate



Certificate number: 2013-020

Certified by EY CertifyPoint since: December 24, 2013

Based on certification examination in conformity with defined requirements in ISO/IEC 17021-1:2015 and ISO/IEC 27006:2015/A1:2020, the Information Security Management System as defined and implemented by

TMF Group B.V.*

located in Amsterdam, The Netherlands is compliant with the requirements as stated in the standard:

ISO/IEC 27001:2013

Issue date of certificate: December 16, 2022

Reissue date of certificate: January 16, 2023

Expiration date of certificate: October 31, 2025

Last certification cycle expiration date: December 20, 2022

EY CertifyPoint will, according to the certification agreement dated September 30, 2022, perform surveillance audits and acknowledge the certificate until the expiration date noted above.

**The certification is applicable for the assets, services and locations as described in the scoping section at the back of this certificate, with regard to the specific requirements for information security as stated in the corresponding Statement of Applicability per location.*

DocuSigned by:

Jatin Sehgal

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J. Sehgal | Director, EY CertifyPoint

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Scope for certificate 2013-020

The scope of this ISO/IEC 27001:2013 certification is as follows:

The scope of the Information Security Management System (ISMS) applies to all TMF Group employees as well as its customers, independent third parties such as consultants, contractors and vendors who are operating from within the facility and/or accessing TMF Group information and the systems from any location using any type of access devices and media. The scope of the ISMS includes the following key service lines:

- ▶ Accounting and Tax
- ▶ HR and Payroll
- ▶ Global Entity Management (GEM)
- ▶ Fund Services
- ▶ Capital Markets Services
- ▶ Family Business Wealth Solutions

The Information Security Management System is managed by global and regional functions with Senior management oversight located in India. The locations in scope of the ISMS are listed in the following pages of the certificate.

The Information Security Management System mentioned in the above scope is restricted as defined in "TMF Group - Establishment of ISMS.pdf" version 7.3, dated September 15, 2022.

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Scope for certificate 2013-020

Locations:

Americas – 29 Offices

- ▶ Argentina, Buenos Aires
5th Floor, Av. Chiclana 3345 Buenos Aires CABA, Argentina, Buenos Aires C1260ACA
- ▶ Bolivia, La Paz
Annex Cowork. Edificio El Dorial, 19th floor, Avda. Ballivian 555, entre calles 11 y 12 de Calacoto, Calacoto, La Paz, Bolivia
- ▶ Bolivia, Santa Cruz (Main Office)
San Martín Cowork, Avda. San Martín Nro. 1260 entre 3er y 4to anillo, Equipetrol, Santa Cruz, Bolivia
- ▶ Brazil, Ribeirão Preto
Ribeirão Preto Trio, Av. Presidente Vargas 1265, 15 floor Ribeirão Preto/SP Brazil, CEP 14020-273
- ▶ Brazil, São Paulo
Av. Marcos Penteado de Ulhôa Rodrigues- 939- Cond. Castelo Branco Office Park-Torre Jacarandá, Barueri - São Paulo, cep 06460-040
- ▶ British Virgin Islands, Tortola
3rd Floor, Palm Grove House Road Town, Tortola, British Virgin Islands VG1110
- ▶ Canada, Toronto
Downtown Toronto 3th floor, 1 University Ave, Toronto, Canada M5J 2P1
- ▶ Canada, Vancouver
777 Dunsmuir Street Suite 1700 Vancouver, Canada BC V7Y 1K4
- ▶ Cayman Islands, Grand Cayman
4th Floor, Monaco Tower II, 11 Dr. Roy's Drive, Grand Cayman, Cayman Islands KY1-1003
- ▶ Chile, Santiago
Mariano Sanchez Fontecilla 310, 2nd Floor, Oficiiana 201, Las Condes Santiago Región Metropolitana, Chile, 7550296
- ▶ Colombia, Bogotá
Carrera 16, 97-48, Edificio Torre 97, 6th Floor Bogotá, Colombia 110231
- ▶ Costa Rica, Santa Ana
Forum 1, Tower E, 2nd Floor, San Jose, Santa Ana, Costa Rica
- ▶ Curacao, Willemstad
Damacor Office Building, 2nd Floor Schout bij Nacht Doormanweg 40, Curaçao
- ▶ Dominican Republic, Santo Domingo, Distrito Nacional
Edificio Spirit Coworking, Avenida Tiradentes, Oficina 207, Santo Domingo, Dominican Republic

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- ▶ Ecuador, Quito
Av. República del Salvador 1082 y Naciones Unidas, Edificio White Mansion, Paris Tower, 5th Floor, Quito, Ecuador
- ▶ El Salvador, San Salvador
Calle La Reforma #209, Colonia San Benito, San Salvador, El Salvador
- ▶ Guatemala, Guatemala City
13th St, 3-40 Zone 10, Edificio Atlantis, Oficina 702 Nivel 7, Guatemala City, Guatemala
- ▶ Honduras, Tegucigalpa
Boulevard Juan Pablo II, Lomas del Guijarro Sur, Alianza Tower 1, 5th Floor, Local 502, Tegucigalpa, Honduras
- ▶ Jamaica, Kingston
Suite #17, The Business District, 22B Old Hope Road, Kingston 5, Jamaica
- ▶ Mexico, Mexico City
Av. Pdte. Masaryk 111, Polanco V Sección, Miguel Hidalgo, 11560 Mexico City Mexico, C.P. 11000
- ▶ Nicaragua, Managua
Edificio CAR III, 5to Piso, Enitel Villa Fontana, 200mts al Este, Managua, Nicaragua
- ▶ Panama, Panama City
Costa del Este, Avenida Centenario, PH Torre Centenario, Piso 17, Oficina 17^a, Ciudad de Panamá.
- ▶ Paraguay, Asunción
Av. Aviadores del Chaco 2581, Edificio Skypar – Tower 1, 7th Floor, Oficina A, Asunción, Paraguay
- ▶ Peru, Lima
Av. Emilio Cavenecia 151 Torre Cavenecia, Oficina 701 Miraflores, Lima 18, Peru
- ▶ United States of America, Miami
80 SW 8th Street, Suite 2900 Miami, FL 33130, United States of America
- ▶ United States of America, New York
48 Wall Street, 27th Floor New York, NY 10005, United States of America
- ▶ Uruguay, Montevideo
Yaguarón 1407, office 1506. C.P. 11.100, Montevideo, Uruguay
- ▶ Uruguay, Zonamérica
Paraguay 2141, office 1601 E, Zona franca, Aguada Park, CP 91.600, Montevideo, Uruguay
- ▶ Venezuela, Caracas
Avenida Francisco de Miranda, Centro Comercial Lido, 12th Floor Oficina 124, Urb. El Rosal Municipio Chacao, Estado Miranda Caracas, Venezuela

APAC – 28 Offices

- ▶ Australia, Melbourne
Level 9, 63 Exhibition Street, Melbourne VIC 3000, Australia

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- ▶ Australia, Sydney
Suite 1, Level 11, 66 Goulburn Street, Sydney NSW 2000, Australia
- ▶ China*, Beijing
Room 02, 10th Floor, Suit A, Building 1, SK Tower, No.6 Jianguomen Street, Chaoyang District, Beijing, China - 100022
- ▶ China*, Chengdu
No.27-30, 12/F, Building 1, E1 District, Tianfu, Software Park, No. 1268 Middle Tianfu Avenue, Hi-tech Zone, Chengdu, China - 610041
- ▶ China*, Guangzhou,
Unit 95, Room 05-08, Level 9, No.6 Zhujiang East Road, Tianhe District, Guangzhou, China - 510630
- ▶ China*, Shanghai
Unit 1501 (Nominal Room Unit 1701), No. 268 Hengtong Road, Jing'an District, Shanghai, China - 200070
- ▶ China*, Shenzhen,
Unit 16, 1st Floor, Main Tower, Shun Hing Square, 5002 Shennan East Road, Shenzhen, China - 518001
- ▶ China*, Tianjin
Unit 03A, 19th Floor, Tower A, The Exchange Tower 1, No.189, Nanjing Road, Wudadao Street, HE-Ping District, Tianjin, China - 300051
- ▶ Hong Kong, Causeway Bay
31F & 3601 – 3, Times Square, Tower 2, 1 Matheson Street, Causeway Bay, Hong Kong
- ▶ India, Bangalore
Shabari Complex, 2nd Floor, Field Marshal Cariappa Road, Shanthala Nagar, Ashok Nagar, Bengaluru - 560 025, India
- ▶ India, Gurgaon
1st Floor, Tower C, Embassy Galaxy Business Park Square Pvt Ltd, Plot No. A 44 & 45, Sector 62 Noida - 201309, UP, India
- ▶ India, Mumbai
One International Center, 1301 A, 1301 E and 1302 Tower 3, 13th Floor, Senapati Bapat Marg, Elphinstone Road (W), Mumbai - 400 013, India
- ▶ India, Noida
1st Floor, Tower C, Embassy Galaxy Business Park Square Pvt Ltd, Plot No. A 44 & 45, Sector 62 Noida - 201309, UP, India
- ▶ India, Pune
MCCIA Trade towers, Wing A, 3th floor, S B Road, Pune – 411016, Maharashtra, India
- ▶ Indonesia, Jakarta
Wisma GKBI, 17th Floor Suite 1710, Jl. Jendral Sudirman No. 28, Jakarta, Indonesia 10210

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- ▶ Japan, Tokyo
Tokyo Club Building 9th & 11th Floor, 3-2-6 Kasumigaseki, Chiyoda-ku Tokyo 100-0013, Japan
- ▶ Malaysia, Kuala Lumpur
10th Floor, Menara Hap Seng, No. 1 & 3, Jalan P. Ramlee, 50250, Kuala Lumpur, Malaysia
- ▶ Malaysia, Kuala Lumpur (RDC)
Level 13, Menara 1 Sentrum, 201, Jalan Tun Sambanthan, Brickfields, 50470 Kuala Lumpur, Malaysia
- ▶ Malaysia, Labuan
Brumby Centre, Lot 42, Jalan Muhibbah, 87000, Labuan F.T., Malaysia
- ▶ Malaysia, Penang
Suite S-21-H, 21st Floor, Menara Northam, 55, Jalan Sultan Ahmad Shah, 10050, Pulau Pinang, Malaysia
- ▶ New Zealand, Auckland
Level 11, 41 Shortland Street, Auckland 1010, New Zealand
- ▶ Philippines, Makati City
24/F Philam Life Tower, 8767 Paseo de Roxas Avenue Makati City, Philippines - 1226
- ▶ Singapore, Singapore
South Beach Tower, 38 Beach Road, #29-11 Singapore 189767
- ▶ South Korea, Seoul
4th Floor, FL, LS YongSan Tower, 92, Hangang-daero, Yongsan-gu, Seoul, South Korea, 04386
- ▶ Taiwan, Taipei
Room N-412, 4F, Chia Hsin Building II, No. 96, Zhong Shan N. Road, Sec. 2, Taipei, Taiwan, 10449
- ▶ Thailand, Bangkok
Unit 1604-6, Capital Tower, All Seasons Place, 16th Floor, 87/1 Wireless Road, Kwaeng Lumpini, Khet Pathumwan, Bangkok, 10330 – Thailand
- ▶ Vietnam, Hanoi
Unit 203, 2nd Floor, Sky City Tower, No. 88 Lang Ha street, Dong Da district, Hanoi City, Vietnam
- ▶ Vietnam, Ho Chi Minh City
Unit 1, 8th & 12th Floor, Bitexco Finance Tower, 2 Hai Trieu, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam

EMEA – 53 Offices

- ▶ Austria, Linz
4020 Linz, Honauerstrasse 2, Austria
- ▶ Austria, Salzburg
5071 Salzburg, Himmelreich 1, Austria

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- ▶ Austria, Vienna
1010 Wien, Teinfaltstrasse 8, Vienna, Austria
- ▶ Belgium, Brussels
Havenlaan 86C - 204, 1000 Brussels, Belgium
- ▶ Bulgaria, Sofia
2a, Saborna Street, 4th Floor, 1000 Sofia, Bulgaria
- ▶ Croatia, Zagreb
Radnička cesta 80, 10000 Zagreb, Croatia
- ▶ Cyprus, Nicosia
10-12 Florinis Street, STADYL Building, 4th Floor, Nicosia, 1065, Cyprus
- ▶ Czech Republic, Prague
Kateřinská 40 Business Center (Kateřinská 40, 120 00, Prague 2) Prague, Czech Republic
- ▶ Denmark, Copenhagen
H. C. Andersens Boulevard 38, 3. th, 1553 København V, Denmark
- ▶ Egypt, Cairo
Nile City Towers, North Tower 22nd floor, Cornish El Nil, 11624, OFFICE NO. 2229, Cairo, Egypt
- ▶ Finland, Helsinki
Erottajankatu 15-17, 00130 Helsinki, Finland
- ▶ France, Paris
3-5 rue Saint Georges, 75009, Paris, France
- ▶ Germany, Frankfurt
Wiesenhüttenstrasse 11, 60329 Frankfurt am Main, Germany
- ▶ Germany, Munich
Maximilianstraße 54, 80538 München, Germany
- ▶ Greece, Athens
62 Kifissias Avenue, 15125 Maroussi, Athens, Greece
- ▶ Guernsey, St. Peter Port,
PO Box 406, Mill Court, La Charroterie, St Peter Port, Guernsey GY1 3GG
- ▶ Hungary, Budapest
Népfürdő u. 22, Building B, 13th floor, Budapest, Hungary 1138
- ▶ Ireland, Dublin
Kilmore House, Park Lane, Spencer Dock, Dublin 1 D01 XN99, Ireland
- ▶ Israel, Tel Aviv
Rival Street 7, Tel Aviv - Yafo 6777840, Israel
- ▶ Italy, Milan
Corso Vercelli 40- 20145 Milan, Italy
- ▶ Jersey, St Helier,
Level 1, IFC1, Esplanade, St Helier, Jersey JE2 3BX
- ▶ Kazakhstan, Almaty
Business Center Baykonyr, 42 Abay Avenue, Almaty, Kazakhstan 050022

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- ▶ Luxembourg, Luxembourg
46A avenue J.F. Kennedy, Luxembourg, L-1855
- ▶ Munsbach, Luxembourg
6, rue Gabriel Lippmann, Parc d'Activité Syrdall 2, Luxembourg, Schuttrange L-5365
- ▶ Malta, Marsa
OYIA Business Centre, Floor 3, Suite 301, Cross Roads, Marsa MRS 1547, Malta
- ▶ Mauritius, Ebene
3rd Floor Standard Chartered Tower, 19 Cybercity, Ebene, Mauritius
- ▶ Netherlands, Amsterdam
Herikerbergweg 238, 1101 CM Amsterdam, Netherlands
- ▶ Norway, Asker
Hagaløkkveien 26, 1383 Asker, Norway
- ▶ Norway, Stavanger
Kanalsletta 2, 4033 Stavanger, Norway
- ▶ Poland, Katowice
ul. Uniwersytecka 20, 40-007 Katowice, Poland
- ▶ Poland, Warsaw
pl. Piłsudskiego 1, 00-078 Warsaw, Poland
- ▶ Portugal, Lisbon
Avenida Duque d'Ávila, nº46, 3C, 1050-083 Lisbon, Portugal
- ▶ Qatar, Doha
Al Fardan Office Tower, Office 1422 & 1424, Doha, Qatar
- ▶ Romania, Bucharest
133, Calea Serban Voda, Central Business Park, ground floor, 4th District, Bucharest, Romania
- ▶ Romania, Craiova
Bd. Stirbei Voda, No.7a, Floor 4, Craiova, Dolj., Romania
- ▶ Serbia, Belgrade
Bulevar Zorana Djindjica 64a, 11070 Beograd, Serbia
- ▶ Serbia, Subotica
Matije Korvina 17, 24000 Subotica, Serbia
- ▶ Slovakia, Bratislava
River Park, Dvořákovo nábrežie 4, 811 02 Bratislava, Slovakia
- ▶ Slovenia, Ljubljana
Cesta v Mestni log 88a, 1000 Ljubljana, Slovenia
- ▶ South Africa, Cape Town
Bridgewater 1, Block 1, 2 Conference Lane, Century City, 7446, Cape Town, South Africa
- ▶ South Africa, Johannesburg
1st Floor, Building 15, Woodlands Office Park, 20 Woodlands Drive, Woodmead, 2191, Johannesburg, South Africa

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- ▶ Spain, Barcelona
Travessera de Gràcia 11, Planta 5. 08021 Barcelona, Spain
- ▶ Spain, Madrid
Príncipe de Vergara 112, Planta 4. 28002 Madrid, Spain
- ▶ Sweden, Stockholm
Sergels Torg 12, 111 57 Stockholm, Sweden
- ▶ Switzerland, Geneva
Rue de Jargonnant 2, 1207 Geneva, Switzerland
- ▶ Switzerland, Zurich
Talstrasse 83, 8001 Zurich, Switzerland
- ▶ Turkey, Istanbul
Buyukdere Cad. Ali Kaya Sk. No:4 Polat Plaza Kat:13 Levent Sisli Istanbul-Turkey
34394
- ▶ Ukraine, Kyiv
2nd floor, 23-A Yaroslaviv Val Street, Kyiv 01054, Ukraine
- ▶ United Arab Emirates, Abu Dhabi
15-139 Wework at Hub71, Al Khatem Tower, ADGM square, Abu Dhabi, United
Arab Emirates
- ▶ United Arab Emirates, Dubai
19th Floor Nassima Tower, Sheikh Zayed Road, Dubai, United Arab Emirates
- ▶ United Kingdom, Brighton
3rd Floor, Queensberry House, 106 Queens Road, Brighton, BN1 3XF, United
Kingdom
- ▶ United Kingdom, London
20 Farringdon Street, 8th Floor, London, EC4A 4AB, United Kingdom
- ▶ United Kingdom, Luton
960 Capability Green, Luton, LU1 3PE, United Kingdom

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